

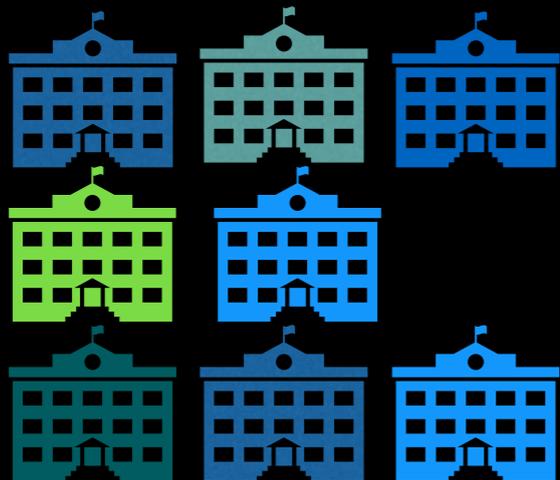
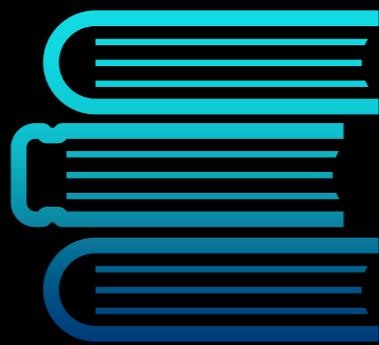
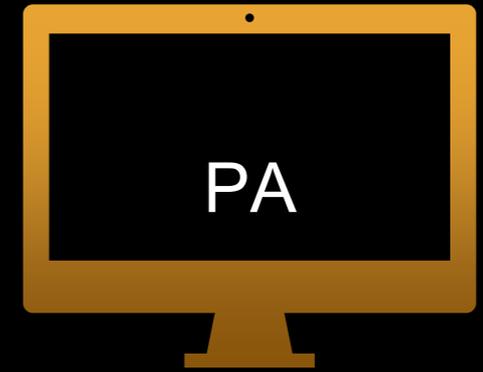
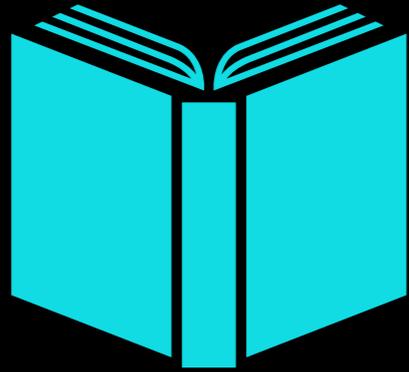
# Quelles transformations? Analyse de la diversité des accords dits transformants en Europe et de leurs effets



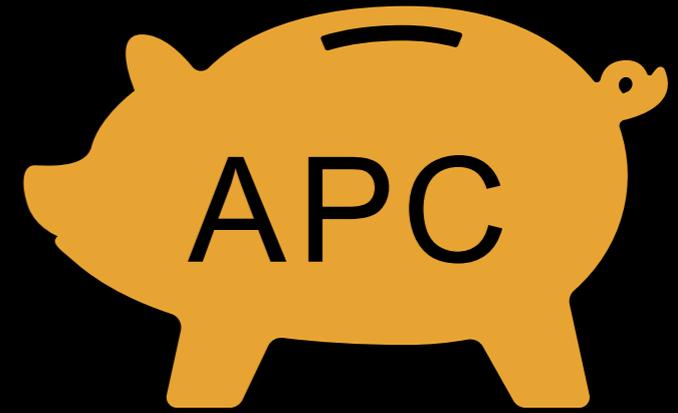
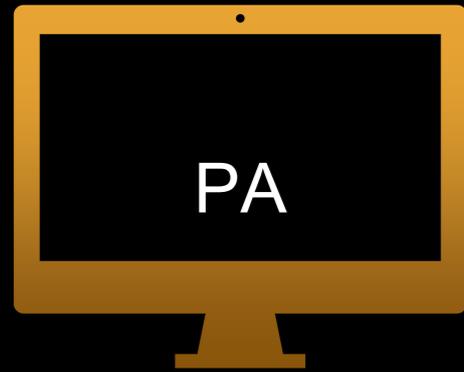
Didier Torny



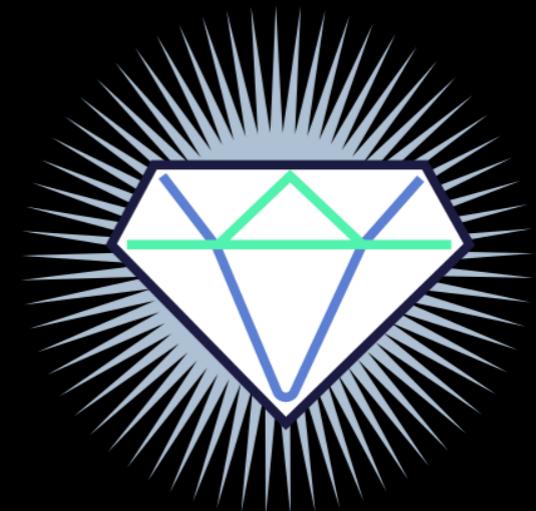
# comment en est-on arrivé là ?



# comment en est-on arrivé là ?



Hybrid Journal



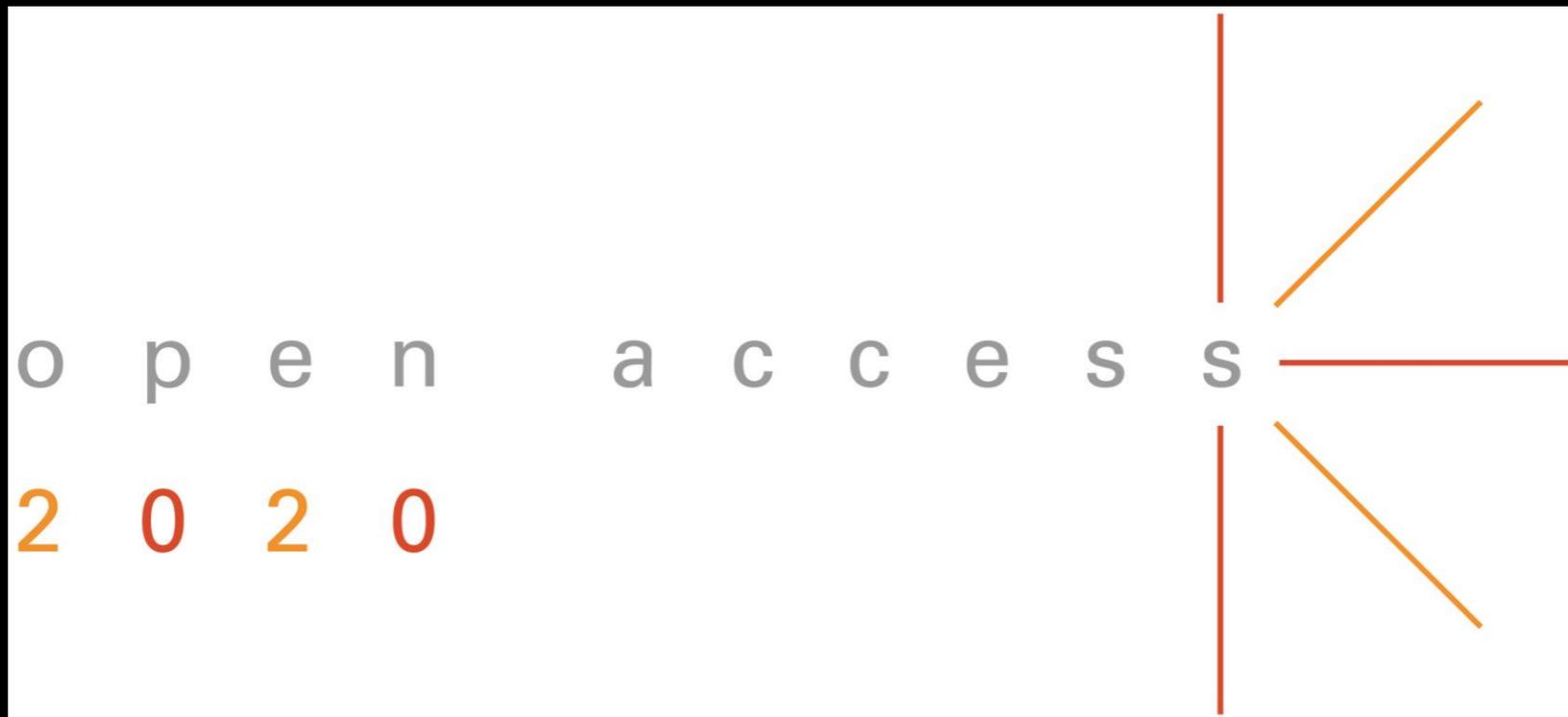
# comment en est-on arrivé là ?



2008



2012



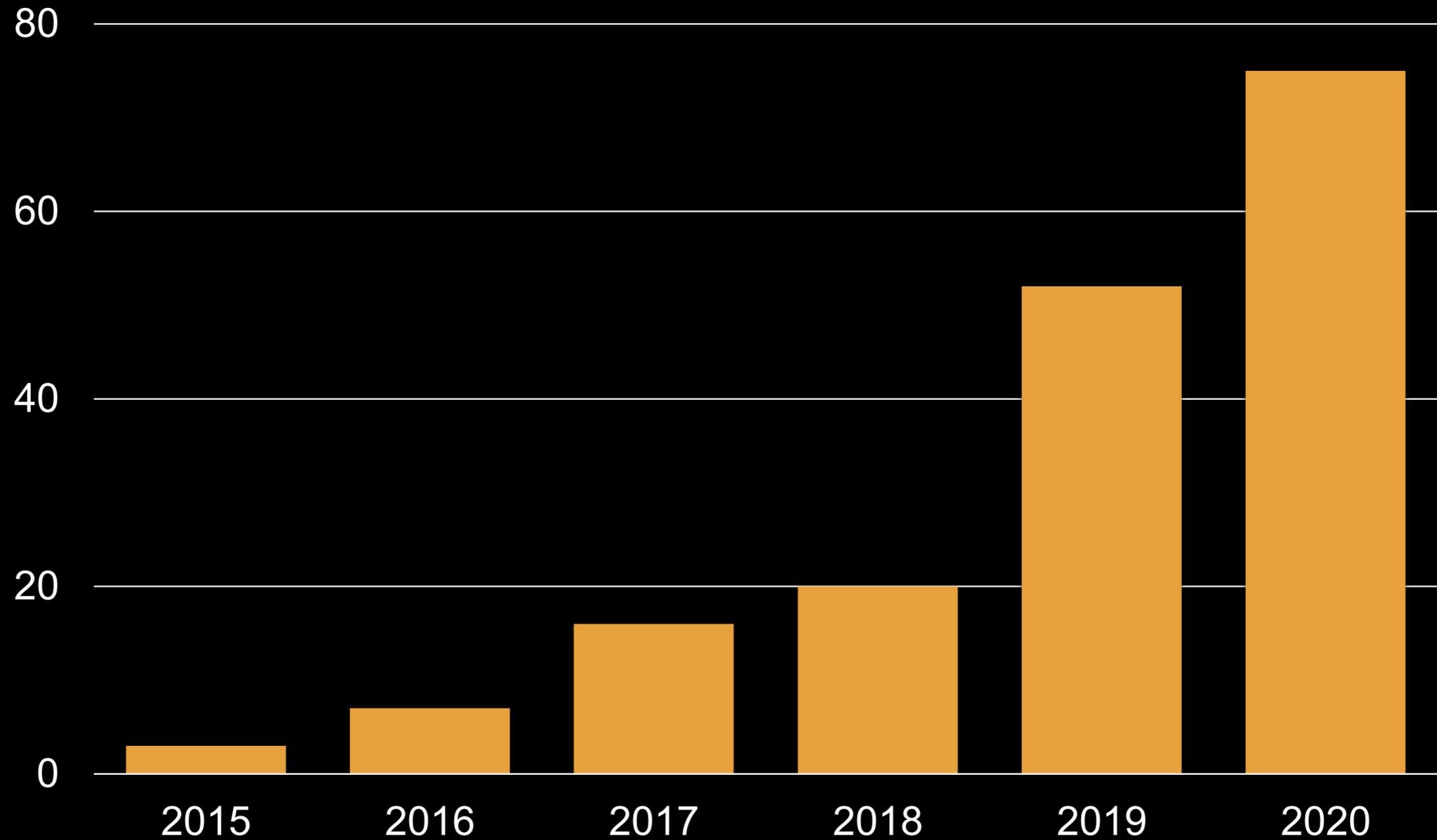
2015

# objectifs & cadre

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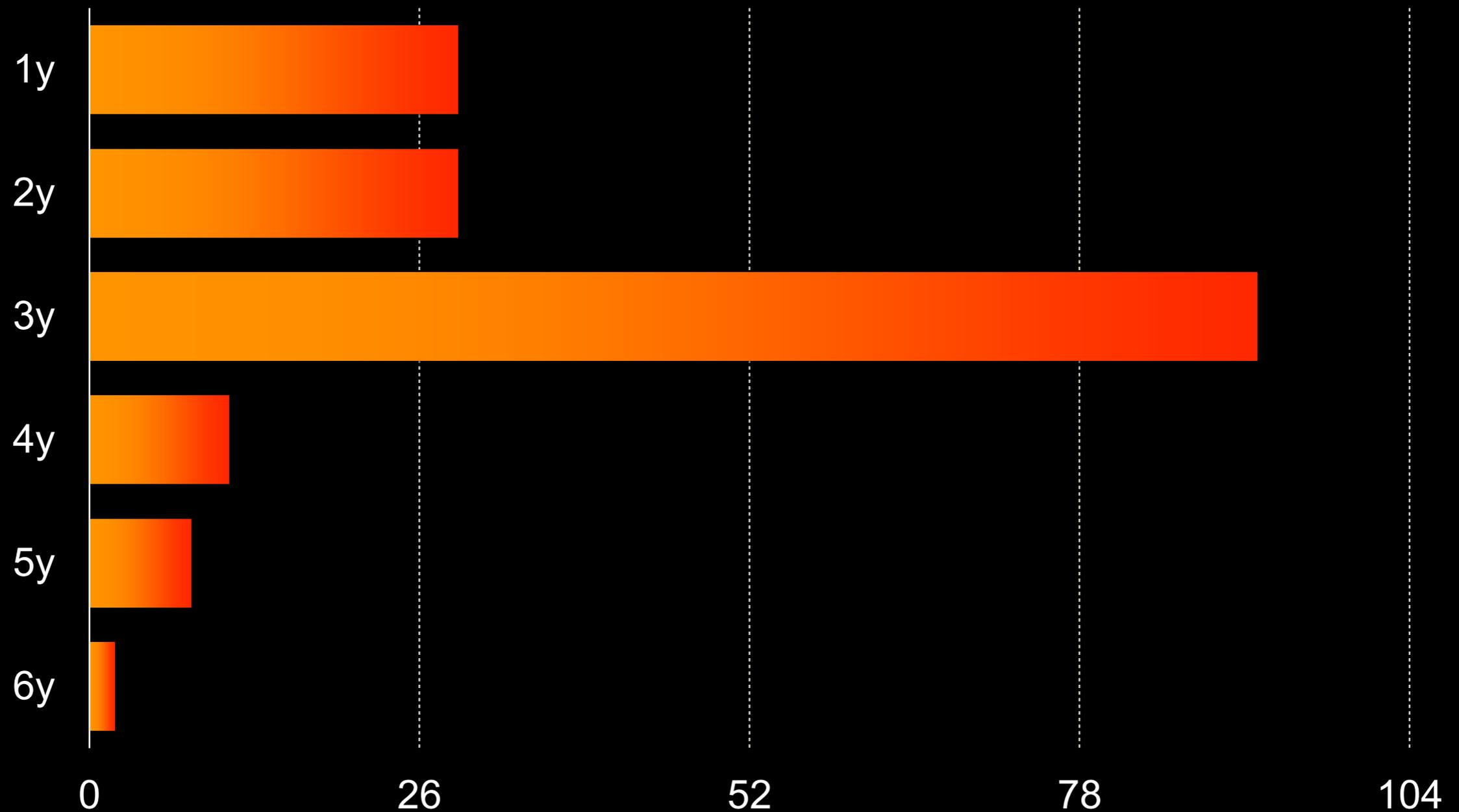
1. Cadre général : la transformation des modèles de paiement/revenu à l'heure de l'accès ouvert
2. Objet spécifique: les contrats mêlant abonnement et publication en accès ouvert
3. Limites du corpus: consortiums européens jusqu'à l'année 2020
4. Analyse fondée sur des documents publics, principalement les accords consortium/éditeur

# distribution des accords



année de début des accords

# un changement de durée ?



durée des accords

# une nouvelle transparence ?

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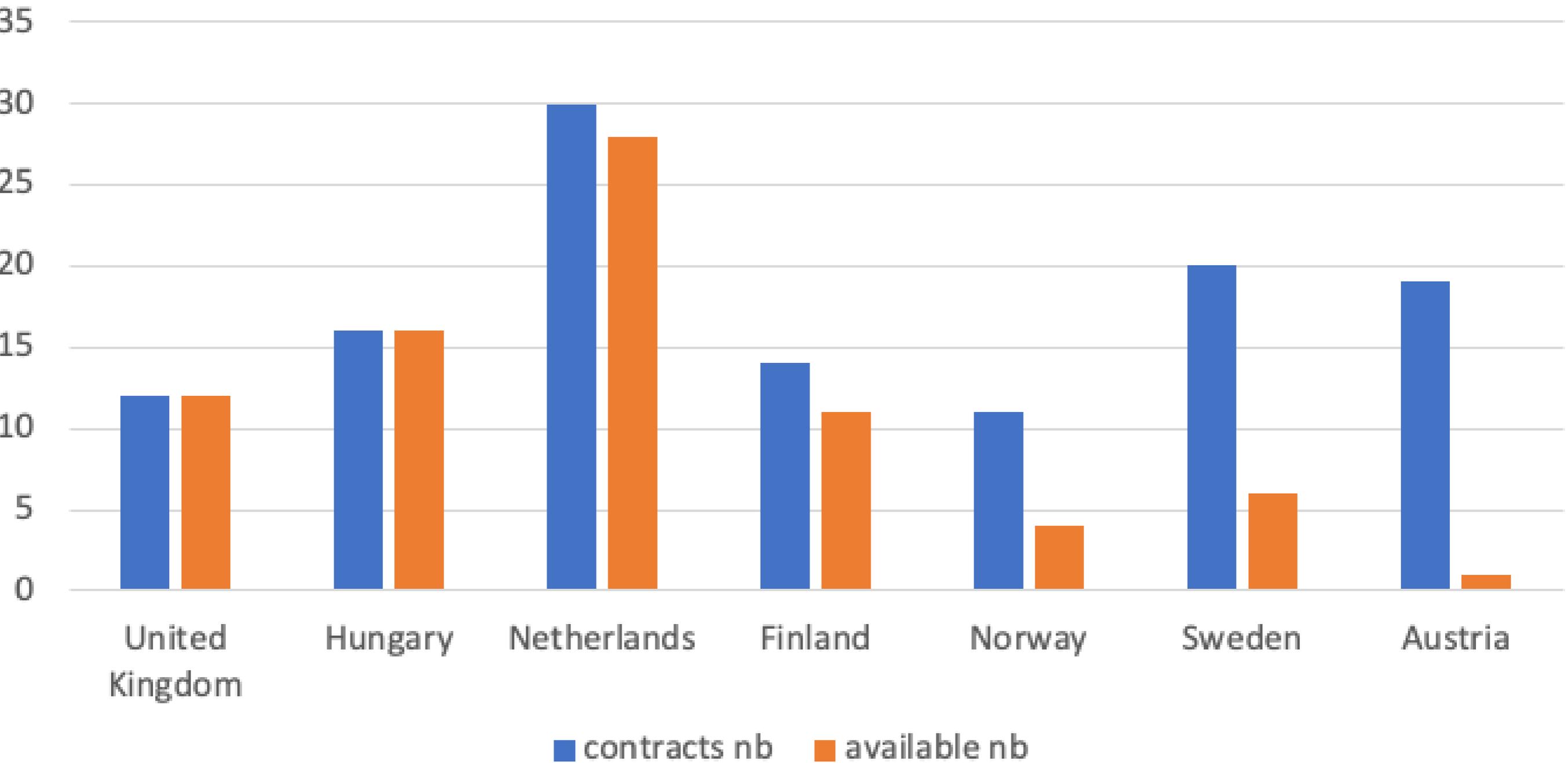
**173**  
**accords**

91 disponibles

82 non disponibles

# facteurs de disponibilité

Country factor



# une transparence variable

<b>Enregistrement des accords</b>	ESAC registration Pages web spécifiques sur le consortium
<b>Disponibilité</b>	URL « stable »
<b>Format du document</b>	“.pdf” ou “.doc” “machine readable”, “human readable”
<b>Contenu du document</b>	langue anglaise (ou traduction) disponibilité intégrale parties expurgées ou censurées
<b>information financière</b>	sommes globales coûts par institution formules financières

# un exemple : Elsevier/DEAL

2014 : ~1000 contrats  
avec des institutions  
allemandes >> monter le  
consortium DEAL.  
Accord avec DOI et sous  
CC-BY-NC-ND

39 pages avec liens  
2019 : accords avec  
Wiley et Springer, échec  
avec Elsevier  
Abonnement aux 2500  
revues  
2019-2023 : aucun  
abonnement avec  
Elsevier en Allemagne  
10M€ pour une « backfile »



No. 1-22634570604, 1-22616750102  
CRM 17.0.0 5/22

## PUBLISH AND READ AGREEMENT

This publish and read agreement (“Agreement”) is entered into as of the first of September 2023 by and between **MPDL Services gGmbH**, Landsberger Str. 346, 80687 München, Germany (the “DEAL Operating Entity”), for the benefit of the Participating Institutions as listed in Schedule 2.2 (the “Participating Institutions”), and **Elsevier B.V.**, Radarweg 29, 1043 NX Amsterdam, The Netherlands on behalf of its affiliates (“Elsevier”).

### Preamble

Recognizing the evolving landscape of scholarly publishing and the growing momentum towards open access, the DEAL Consortium, through the DEAL Operating Entity, and Elsevier are pleased to join together to formalize their shared commitment to advancing the dissemination of knowledge through this transformative open access publishing agreement.

The DEAL Consortium, instituted by the Alliance of German Science Organisations and led by the German Rectors’ Conference (HRK), is open to around 1,000 mostly publicly funded academic institutions in Germany, including universities, universities of applied sciences, research institutes, and state and regional libraries and is represented by the DEAL Operating Entity, MPDL Services gGmbH. The DEAL Operating Entity boosts the visibility of the scientific community in Germany by securing open access publishing services and access to scientific literature for researchers. It provides the infrastructure and the know-how that makes it possible for research institutions across Germany to benefit, collectively and equitably, from the cost-effectiveness of the nationwide DEAL agreements with scholarly publishers and concludes such DEAL agreements for the use and benefit of the scientific community in Germany. Comprising members of the Alliance of Science Organisations, research and research infrastructure organizations, the DEAL Operating Entity, a non-profit organisation (gGmbH), provides a crucial organisational framework to foster the transition to open access in Germany and supports institutions in redirecting their investments in scholarly publishing towards the open dissemination of research.

As a global leader in information and analytics, Elsevier helps researchers and healthcare professionals advance science and improve health outcomes for the benefit of society. It does this by facilitating insights and critical decision-making for customers across the global research and health ecosystems. Elsevier’s 2,800 scientific journals uphold the highest standards of quality and integrity. Elsevier is committed to advancing open science and supports countries and institutions around the world with their open access goals.

Recent developments in the German policy context, such as the [Joint Guidelines of the Federal Government and the Länder on Open Access](#) and the [Recommendations on the Transformation of Scientific Publishing](#) issued by the German Council of Science and Humanities, have set the stage for our commitment to promote open access publishing as the standard for scholarly communication. At the heart of this agreement is the goal of the DEAL Consortium and Elsevier to enable authors in Germany to publish their work openly and to retain copyright, in order to increase the visibility of German research in the global scientific community. From 2024, both parties aim to achieve a 100% open access publication rate for hybrid articles, and commit to working together in ways that promote this shared goal.

# un exemple : Elsevier/DEAL

Year	70% ≤ PF < 90%	90% ≤ PF
2024	2,550 €	2,500 €
2025	2,627 €	2,575 €
2026	2,705 €	2,652 €
2027	2,786 €	2,732 €
2028	2,870 €	2,814 €

Year	CPTL APC
2024	6,450 €
2025	6,708 €
2026	6,976 €
2027	7,255 €
2028	7,546 €

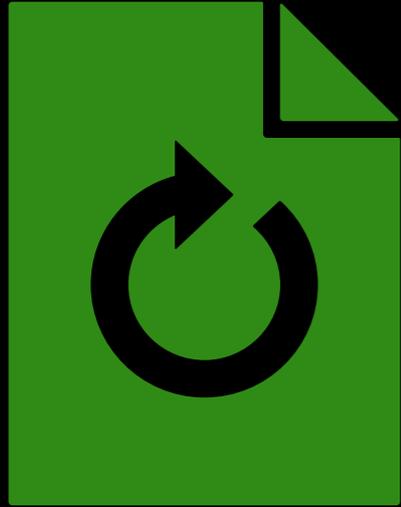
**Publish & Read Fee  
Articles « hybrides »**

**APC  
Cell & The Lancet**

**-15% à -20% APC  
Full Gold OA Journals**

# créer des flux de travail

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Indépendance  
éditoriale



Eligibilité



Identification  
des auteurs



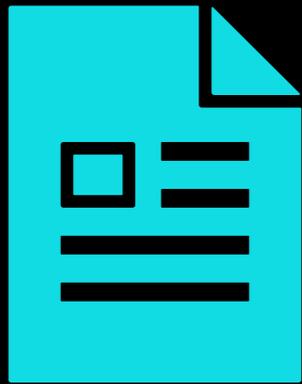
Gestion des fonds



Surveillance

# les options de la publication

Hybrid



Full OA



Périmètre



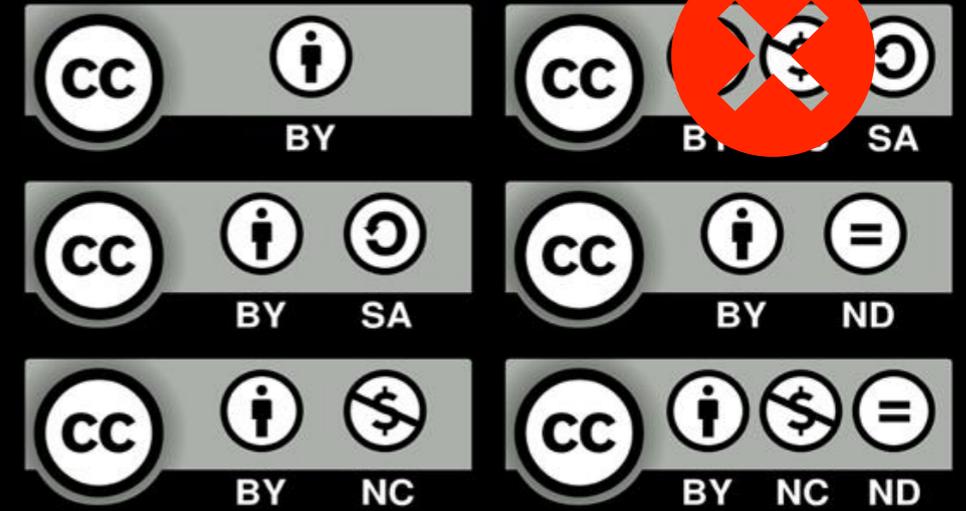
Obligation



Archives ouvertes



Auteur(s)



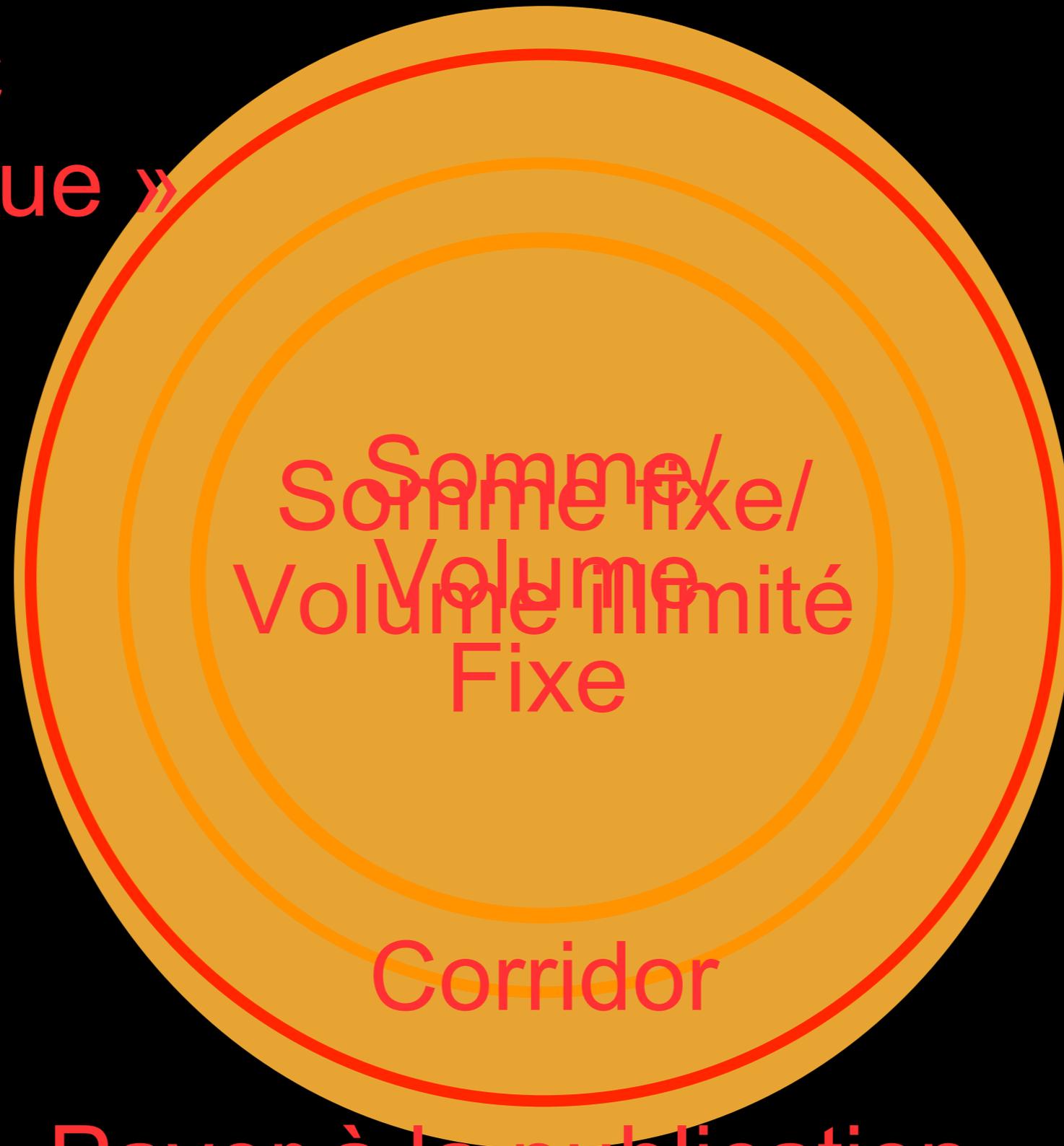
Licence



Modèle de paiement

# formules financières

APC  
« classique »



Payer à la publication

# deux mondes dans un accord

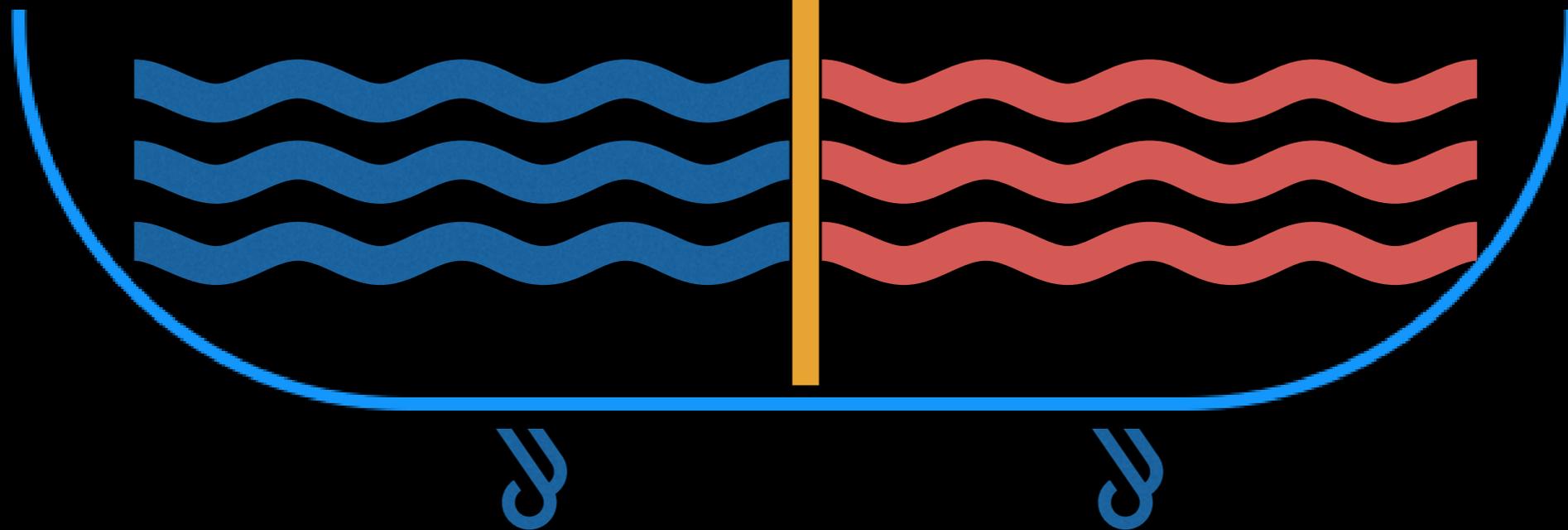


accords  
transformants

NON

abonnement

publication



# usages des accords

Ecart entre « offre » et usage effectifs, dans les deux sens

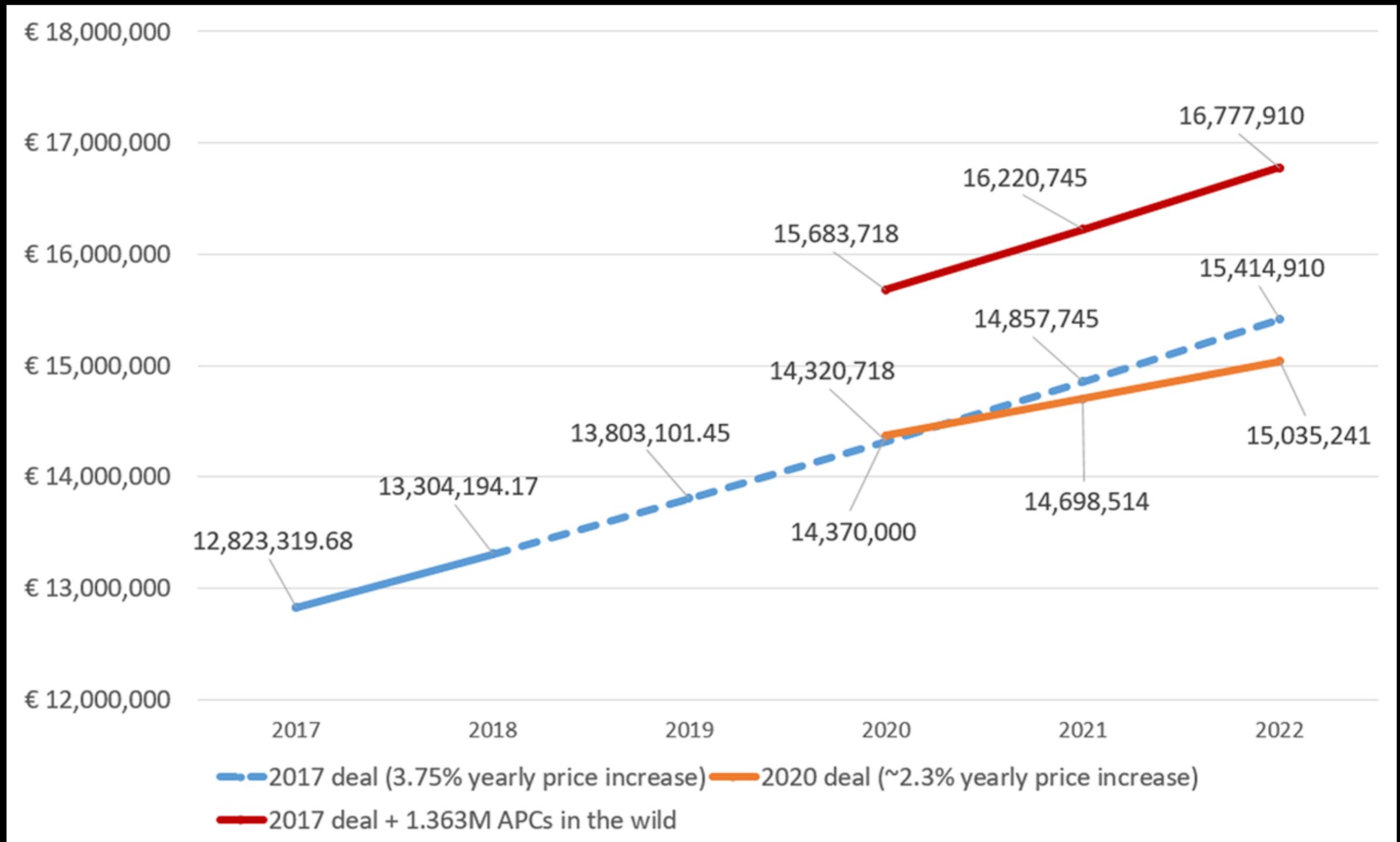
Y a-t-il un changement de lieu de publication ?

The screenshot shows the FinELib interface for an article. At the top, the FinELib logo is visible. Below it, the journal title 'Quantitative Science Studies' is displayed with a hamburger menu icon on the right. A green 'Article Navigation' button is present. The article date is 'May 01 2023'. The title is 'No deal: German researchers' publishing and citing behaviors after Big Deal negotiations with Elsevier', followed by a lock icon. The authors listed are Nicholas Fraser, Anne Hobert, Najko Jahn, Philipp Mayr, and Isabella Peters, each with an ORCID icon. A 'Check for updates' button is located below the authors. A section for 'Author and Article Information' contains the journal citation 'Quantitative Science Studies (2023) 4 (2): 325–352.' and the DOI link 'https://doi.org/10.1162/qss\_a\_00255', along with an 'Article history' link. A navigation bar at the bottom of the article area includes 'Cite', 'PDF', 'Permissions', 'Share', and 'Views'. A 'PDF' button is also visible on the right side of the page. The abstract text begins with 'In 2014, a union of German research organizations established Projekt DEAL, a national-level project to negotiate licensing agreements with large scientific publishers. Negotiations between DEAL and Elsevier began in 2016, and broke down without a successful agreement in 2018; during this time, around 200 German research institutions more articles left in the current agreement, which is in effect until December 31, 2024.'

# évolution des coûts : l'exemple d'Elsevier

	<b>VSNU-UKB</b>	<b>2016</b>	
	<b>Finelib</b>	<b>2018</b>	
	<b>Couperin</b>	<b>2019</b>	 
	<b>EISZ</b>	<b>2019</b>	
	<b>VSNU-UKB</b>	<b>2019</b>	
	<b>Unit</b>	<b>2019</b>	
	<b>Bibsam</b>	<b>2020</b>	 
	<b>VSNU-NFU-NWO</b>	<b>2020</b>	 

# une neutralité des coûts ?



From : Olsson, L., Lindelöw, C. H., Österlund, L., & Jakobsson, F. (2020)  
Cancelling with the world's largest scholarly publisher: lessons from the Swedish experience  
of having no access to Elsevier. *Insights*, 33(1), 13. DOI: <http://doi.org/10.1629/uksg.507>

# principaux résultats

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**transparence**

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**environ 50%**

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**durée**

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**faible diminution**

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**objectifs**

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**variables**

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**infrastructure**

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**création de flux complexes**

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**transformation des  
revues**

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**non**

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**modification des lieux  
de publication**

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**vraisemblable mais limitée**

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**limitation des coûts**

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**incertaine**

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**Merci pour votre attention**

L'ensemble de cette recherche est accessible sur HAL dans un rapport publié en français avec un résumé long en anglais

<https://www.ouvrirlascience.fr/contracting-in-the-age-of-open-access-publishing-a-systematic-analysis-of-transformative-agreements/>